



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Technology Center 2100

In re application of:

Kloba *et al.*

Appl. No. 09/705,927

Filed: November 6, 2000

For: **System, Method, and Computer
Program Product for a Scalable,
Configurable, Client/Server,
Cross-Platform Browser for
Mobile Devices**

Art Unit: 2154

Examiner: Zarni Maung

Atty. Docket: 1933.0010009

Supplemental Information Disclosure Statement

Commissioner for Patents
Washington, D.C. 20231

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below.

- ☒ 1. This Information Disclosure Statement is being filed within three months of the date of filing of a national application other than a continued prosecution application (CPA), OR within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, OR before the mailing date of a first Office Action on the merits OR before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No statement or fee is required.
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application.
- ☐ a. I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
- ☐ b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- ☐ c. Attached is our Check No. _____ in the amount of \$ _____ in payment of the fee under 37 C.F.R. § 1.17(p).
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Enclosed find our Check

No. _____ in the amount of \$ _____ in payment of the fee under 37 C.F.R. § 1.17(p); in addition:

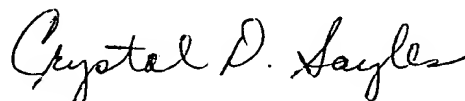
- ☐ a. I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
- ☐ b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- ☐ 4. The document(s) was/were cited in a search report by a foreign patent office in a counterpart foreign application. Submission of an English language version of the search report that indicates the degree of relevance found by the foreign office is provided in satisfaction of the requirement for a concise explanation of relevance. 1138 OG 37, 38.
- ☐ 5. A concise explanation of the relevance of the non-English language document(s) appears below:
- ☐ 6. Copies of the documents was/were cited by or submitted to the Office in an IDS that complies with 37 C.F.R. § 1.98(a)-(c) in Application No. _____, filed _____, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



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Date: April 3, 2002

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FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.
1933.0010009

APPLICATION NO.
09/705,927

APPLICANT
Kloba *et al.*

FILING DATE
November 6, 2000

GROUP
2154

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB-CLASS | FILING DATE |
|---------------------|-----|-----------------|---------|-----------------------|-------|-----------|-------------|
| | AA1 | 5,392,390 | 02/1995 | Crozier | 395 | 161 | |
| | AB1 | 5,666,530 | 09/1997 | Clark <i>et al.</i> | 395 | 617 | |
| | AC1 | 5,666,553 | 09/1997 | Crozier | 395 | 803 | |
| | AD1 | 5,727,159 | 03/1998 | Kikinis | 395 | 200.76 | |
| | AE1 | 5,727,202 | 03/1998 | Kucala | 395 | 610 | |
| | AF1 | 5,832,489 | 11/1998 | Kucala | 707 | 10 | |
| | AG1 | 5,884,323 | 03/1999 | Hawkins <i>et al.</i> | 707 | 201 | |
| | AH1 | 5,928,329 | 07/1999 | Clark <i>et al.</i> | 709 | 227 | |
| | AI1 | 5,974,238 | 10/1999 | Chase, Jr. | 395 | 200.78 | |
| | AJ1 | 6,000,000 | 12/1999 | Hawkins <i>et al.</i> | 707 | 201 | |
| | AK1 | 6,006,274 | 12/1999 | Hawkins <i>et al.</i> | 709 | 248 | |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION |
|---------------------|-----|-----------------|---------|---------|-------|-----------|-------------|
| | AL1 | WO 94/12938 | 06/1994 | WIPO | G06F | 15/02 | Yes No |
| | AM1 | WO 99/22322 | 05/1999 | WIPO | G06F | 17/60 | Yes No |
| | AN1 | | | | | | Yes No |
| | AO1 | | | | | | Yes No |
| | AP1 | | | | | | Yes No |

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

| | | | |
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| | AR | 1 | |
| | AS | 1 | |
| | AT | 1 | |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



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| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB-CLASS | FILING DATE |
|---------------------|-----|-----------------|---------|---------------------|-------|-----------|-------------|
| | AA2 | 6,012,083 | 01/2000 | Savitzky et al. | 709 | 202 | |
| | AB2 | 6,029,195 | 02/2000 | Herz | 709 | 219 | |
| | AC2 | 6,052,735 | 04/2000 | Ulrich et al. | 709 | 236 | |
| | AD2 | 6,148,330 | 11/2000 | Puri et al. | 709 | 217 | |
| | AE2 | 6,167,255 | 12/2000 | Kennedy, III et al. | 455 | 414 | |
| | AF2 | 6,209,111 B1 | 03/2001 | Kadyk et al. | 714 | 747 | |
| | AG2 | 6,023,701 | 02/2000 | Malik et al. | 707 | 10 | |
| | AH2 | 5,917,491 | 06/1999 | Bauersfeld | 345 | 352 | |
| | AI2 | 6,122,658 | 09/2000 | Chaddha | 709 | 203 | |
| | AJ2 | 6,236,991 B1 | 05/2001 | Frauenhofer et al. | 707 | 6 | |
| | AK2 | | | | | | |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION |
|---------------------|-----|-----------------|------|---------|-------|-----------|-------------|
| | AL2 | | | | | | Yes No |
| | AM2 | | | | | | Yes No |
| | AN2 | | | | | | Yes No |
| | AO2 | | | | | | Yes No |
| | AP2 | | | | | | Yes No |

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

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| | AT | 2 | |

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